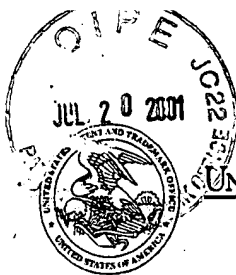


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Page 1 of 1



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/589,788	06/08/2000	Joseph P. Markham	RE97-18

CONFIRMATION NO. 1769

## FORMALITIES LETTER



\*OC000000006112052\*

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Fields & Johnson P C  
8480 East Orchard Road  
Suite 5600  
Englewood, CO 80111

Date Mailed: 05/24/2001

## NOTICE OF INCOMPLETE REISSUE APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above identified application papers for the reason(s) indicated below.

All of the items noted below **and a newly executed oath or declaration covering the items must** be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The specification is missing.
  - The specification does not include at least one claim.
- A complete specification as prescribed by 35 U.S.C. 112 is required.*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Adjustment date: 07/25/2001 RHARIS1  
07/24/2001 RHARIS1 00000162 09589788  
01 FC:105 -130.00 OP

07/25/2001 RHARIS1 00000102 09589788

01 FC:122 130.00 OP

07/24/2001 RHARIS1 00000162 09589788 130.00 OP  
01 FC:105  
Adjustment date: 12/12/2001 AKELLEY  
07/25/2001 RHARIS1 00000102 09589788 -130.00 OP  
01 FC:122  
Ref: 12/12/2001 AKELLEY 0014450500  
Name/Number: 09589788  
DAH: 191970  
FC: 704 \$130.00 CR

Please type a plus sign (+) inside this box → ☒

JUL 20 2001

PTO/SB/50 (4/98)

Approved for use through 09/30/2000. OMB 0651-0033  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Attorney Docket No.	RE97.18
First Named Inventor	Joseph P. Markham
Original Patent Number	5,865,146
Original Patent Issue Date (Month/Day/Year)	February 2, 1999
Express Mail Label No.	EL245411704US

APPLICATION FOR REISSUE OF:  
(check applicable box)



Utility Patent



Design Patent



Plant Patent

### APPLICATION ELEMENTS

- ☒ \* Fee Transmittal Form (PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification and Claims (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☐ Reissue Oath / Declaration (original or copy)  
(37 C.F.R. § 1.175)(PTO/SB/51 or 52)
- Original U.S. Patent  
☒ Offer to Surrender Original Patent (37 C.F.R. § 1.178)  
(PTO/SB/53 or PTO/SB/54)  
or  
☐ Ribbioned Original Patent Grant  
☐ Affidavit / Declaration of Loss (PTO/SB/55)
- Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53 or 54)  
☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney

### ACCOMPANYING APPLICATION PARTS

- ☐ Foreign Priority Claim (35 U.S.C. 119)  
(if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration  
(if applicable)  
\* Small Entity ☒ Statement filed in prior application,  
Statement(s) ☒ Status still proper and desired  
(PTO/SB/09-12)
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☒ Other: See Attached Page 2

\* NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

### 14. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

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NAME (Print/Type)	Gary D. Fields	Registration No. (Attorney/Agent)	19,604
Signature	<i>Gary D. Fields</i>	Date	8 June 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**REISSUE PATENT APPLICATION TRANSMITTAL**

Page 2

**ACCOMPANYING APPLICATION PARTS:**

- Submission of Information Disclosure Citation
- Photocopy of U.S. Patent No. 5,865,146
- Reissue Application Declaration by the Inventor
- Four (4) drawing sheets
- Check for \$423.00